

Tohoku University Research Program “Frontier Research in Duo” (FRiD)
Guidelines for the Implementation of Frontier Research in Duo

Start of project

1 Principal Investigator and co-Principal Investigator who have been adopted Frontier Research in Duo (Hereafter referred to as “FRiD”) may start research from the date of adoption, and those who have continued FRiD from the previous year may start research as of April 1, and necessary contracts may be signed from that time. Please note, however, that the allocation amount of funds will be determined, following the budget situation.

Observance of laws and ordinances

2 In implement of project, researchers involved in it should comply with all related laws and regulations of the Tohoku University, and conduct research appropriately.

Positioning of FRiD

3-1 FRiD is a research program implemented by the Division for the Establishment of Frontier Sciences of the Organization for Advanced Studies. Principal Investigators and co-Principal Investigators are required to concurrently belong to the Division.

3-2 The concurrent procedures described in the preceding paragraph will be conducted at administration office of the Division for the Establishment of Frontier Sciences of the Organization for Advanced Studies.

3-3 Executive Vice President (for Research) can confirm the current status of implementation of the project with Organization Director, the Principal Investigator and co-Principal Investigator as appropriate.

Research Implementation Location

4 The project is to be carried out within the departments to which the Principal Investigator and the co-Principal Investigator belong.

Funds

5-1 The source of the funding is the “Presidential Budget”.

5-2 The funds is allocated from the headquarter to departments to which each Principal Investigator belongs by transferring the fund between headquarter and department, using the data items “間接経費” and “総長裁量経費(研究)” in the financial accounting system.

5-3 Principal Investigator can allocate funds to co-Principal Investigator(s). In that case, the administration officer of departments to which each Principal Investigator belongs can transfer a portion of the fund to the department(s) to which the co-Principal Investigator belongs.

5-4 In managing the execution of expenses, the department to which the Principal Investigator and the co-Principal Investigator belong should set the jurisdiction for each project and manage it appropriately for each Principal Investigator and co-Principal Investigator.

Use of funds

6 The funds are available for costs necessary to implement the project (goods, travel,

personnel and other miscellaneous expenses).

Carry over funds

7 The Principal Investigator and the co-Principal Investigator can carry over funds if it is unavoidable to use funds to the next year. We will notify you separately about the carry-over.

Activity report

8-1 The Principal Investigator should submit the activity report of the project to Organization Director, the Division for the Establishment of Frontier Sciences of the Organization for Advanced Studies.

8-2 The Organization Director should confirm activity reports that is described in the preceding paragraph and can conduct interviews with the Principal Investigator and the co-Principal Investigator as necessary. The Principal Investigator and the co-Principal Investigator should cooperate sincerely with interviews.

Interim evaluation

9 The Principal Investigator and the co-Principal Investigator must undergo an interim evaluation before the end of the third year after the start of the research project. The results of the interim evaluation will be used to determine whether the project will continue into the fourth year and beyond. We will notify you separately about the interim evaluation.

Presentation of research results

10 When publishing the results of the project, the Principal Investigator and the co-Principal Investigator should indicate that you belong to the Division for the Establishment of Frontier Sciences of the Organization for Advanced Studies.

Measures for the Protection of Human Rights and Regulatory Compliance

11 In implement of the project, researchers involved in the project should take the necessary measures and actions, if the project includes research requiring compliance with guidelines, laws and regulations (including those of countries and regions of overseas partners); consent or cooperation of other parties, handling of personal information, work involving bioethics or safety measures, etc.

Suspension or Abolishment of project

12-1 If the Principal Investigator wishes to suspend or abolish the project, he / she should make an offer the Organization Director, the Division for the Establishment of Frontier Sciences of the Organization for Advanced Studies in advance and obtain approval.

12-2 If the suspension or abolition of the project is approved, the Principal Investigator should submit an activity report up to that point to the Organization Director by the deadline notified separately.

Other

13 In addition to what is prescribed in this guideline, matters necessary for implementation shall be prescribed by Executive Vice President (for Research).